

Statement of residence for tax purposes

This statement reflects the implementation at national level of Council Directive 2014/107/EU amending Directive 2011/16/EU as regards mandatory automatic exchange of information in the field of taxation as indicated in the local Tax Procedure Code.

For further information on these legal matters, please refer your inquiries to the headquarters of SAI Erste Asset Management S.A (SAI Erste) or the venues of the Romanian Commercial Bank (BCR) or read the *CRS Overview* document posted on the SAI Erste website at www.erste-am.ro.

I, the undersigned, Surname: _____ **First name:** _____, **National Identification Number:** _____, acting in the capacity of shareholder in the open-end investment fund _____, **hereby certify the following information:**

1. **Home address** _____ (country), town/city _____, street _____, no. _____, building _____, unit _____, floor _____, apartment _____, county (district) _____, postal code _____.
2. **Residence address** _____ (country), town/city _____, street _____, no. _____, building _____, unit _____, floor _____, apartment _____, county (district) _____, postal code _____.
3. **Mailing address:** _____ (country), town/city _____, street _____, no. _____, building _____, unit _____, floor _____, apartment _____, county (district) _____, postal code _____.
4. **Post office box number** _____; post office number _____ in _____ (country)
5. **Telephone number:** _____
6. I have given **standing instructions** to transfer funds to an account maintained in _____ (the country of the financial and banking institution maintaining the account).
7. **Date of birth and place of birth** (country and town/city): _____ / _____
8. **Country of residence for tax purposes:** _____
National Identification Number: _____

Country of residence for tax purposes: _____
National Identification Number: _____

Country of residence for tax purposes: _____
National Identification Number: _____

9. I am aware that the information given in this statement is collected by SAI Erste for the purposes of legal compliance.
10. In my capacity as shareholder in the open-end investment funds managed by SAI Erste, I hereby confirm that my residence/residences for tax purposes is/are that/those indicated above.
11. In the event of changes in the information provided herein, I hereby undertake to give SAI Erste notice in writing at the headquarters of SAI Erste or at the venues of any BCR business unit and submit a new statement of residence for tax purposes¹ within maximum 90 days from the day when the relevant change occurred.

¹ The statement may be given in person at the headquarters of SAI Erste or at the venues of any BCR business unit or in the form of a statement authenticated by a notary public and submitted to SAI Erste.

12. I understand and acknowledge that the information provided in this statement is personal and confidential in nature, and has been collected for identification purposes.
13. I understand and acknowledge that SAI Erste may store, process, and submit the information to competent Romanian tax authorities.
14. I am aware that I am entitled to the following legal rights: the right of access to data, the right of intervention, the right to object, the right to not be subject to an individual decision, and the right to take legal action. I am also aware of my right to object on compelling legitimate grounds to the processing of my personal data, except in the event of any legal provisions to the contrary.
15. I understand and acknowledge that my refusal to provide the information under paragraphs 1 to 8 above will render SAI Erste unable to establish/pursue any business relationship as per my request.
16. I am aware of the provisions laid down in art. 326 of the Penal Code concerning the provision of false information, and I hereby certify that the information provided in this statement is true and accurate.

Surname/First name _____

Date _____

Signature _____