

Statement of residence for tax purposes

This statement reflects the implementation at national level of Council Directive 2014/107/EU amending Directive 2011/16/EU as regards mandatory automatic exchange of information in the field of taxation as indicated in the local Tax Procedure Code.

For further information on these legal matters, please refer your inquiries to the headquarters of SAI Erste Asset Management S.A (SAI Erste) or the venues of the Romanian Commercial Bank (BCR) or read the *CRS Overview* document posted on the SAI Erste website at www.erste-am.ro.

The undersigned having its **registered office** in, street, no., building, unit, room, county , holder of Trade Register certificate of registration no., taxpayer identification number issued on, (the “Company”), hereby give representation and warranty to SAI Erste that:

1. The Company’s **mailing address** is [the address shown above as registered office]/[the following: town/city, street, no., building, unit, room, county....., country]¹
2. (According to the definitions attached to this Statement) the Company is
 - an active NFE**
 - a passive NFE**

Please fill out the information below, if you are a passive NFE:²

The Company hereby gives representations and warranties that the Company’s controlling person (Beneficial owner):

- is: (surname, first name), national identification number
- having his/her **home address** in (country), town/city _____, street _____, no. _____, building _____, unit _____, floor _____, apartment _____, county (district) _____, postal code _____.
- **residence address** _____ (country), town/city _____, street _____, no. _____, building _____, unit _____, floor _____, apartment _____, county (district) _____, postal code _____.
- **mailing address:** _____ (country), town/city _____, street _____, no. _____, building _____, unit _____, floor _____, apartment _____, county (district) _____, postal code _____.
- **post office box number** _____; post office number _____ in _____ (country)
- **telephone number:** _____
- has **given standing instructions** to transfer funds to an account maintained in _____ (the country of the financial and banking institution maintaining the account).

¹ Select one choice only

² Do not fill out this information, if you’re an active NFE

- **Date of birth and place of birth** (country and town/city): _____/_____
- **Country of residence for tax purposes:** _____
National Identification Number: _____

Country of residence for tax purposes: _____
National Identification Number: _____

Country of residence for tax purposes: _____
National Identification Number: _____

3. The Company has the following identification data:
Country of residence for tax purposes: _____
Taxpayer Identification Number: _____

Country of residence for tax purposes: _____
Taxpayer Identification Number: _____

Country of residence for tax purposes: _____
Taxpayer Identification Number: _____

The Company also gives representations and warranties, and acknowledges that:

4. The information given in this statement concerning the Company's account/accounts is collected by SAI Erste for the purposes of legal compliance, and SAI Erste may store, process, and submit the information to competent Romanian tax authorities.
5. Any document/information requested by SAI Erste for identification purposes will be made available by the Company for legal compliance.
6. The information in this statement is accurate and complete.
7. In the event of changes in the information provided herein, the Company will give SAI Erste notice in writing at the headquarters of SAI Erste or at the venues of any BCR business unit and submit a new statement of residence for tax purposes³, within maximum 90 days from the day when the relevant change occurred.
8. SAI Erste collects and processes the information in this statement for the purposes of legal compliance, while the data subjects retain the following rights under the law: the right of access to data, the right of intervention, the right to object, the right to not be subject to an individual decision, and the right to take legal action. The Company is also aware that data subjects are entitled to object on compelling legitimate grounds to the processing of personal data, except in the event of any legal provisions to the contrary.
9. We are aware of the provisions laid down in art. 326 of the Penal Code concerning the provision of false information.
10. Refusal to provide the information above will render SAI Erste unable to establish/pursue any business relationship as per our request.

.....,
 capacity

Date:
Signature

³ The statement may be given in person at the headquarters of SAI Erste or at the venues of any BCR business unit or in the form of a statement authenticated by a notary public and submitted to SAI Erste.